62 Chapter 2 Operating-System Structures

The user interface can vary from system to system and even from user to user within a system. It typically is substantially removed from the actual system structure. The design of a useful and friendly user interface is therefore not a direct function of the operating system. In this book, we concentrate on the fundamental problems of providing adequate service to user programs. From the point of view of the operating system, we do not distinguish between user programs and system programs.

2.3 System Calls

System calls provide an interface to the services made available by an operating system. These calls are generally available as routines written in C and C++, although certain low-level tasks (for example, tasks where hardware must be accessed directly) may have to be written using assembly-language instructions.

Before we discuss how an operating system makes system calls available, let's first use an example to illustrate how system calls are used: writing a simple program to read data from one file and copy them to another file. The first input that the program will need is the names of the two files: the input file and the output file. These names can be specified in many ways, depending on the operating-system design. One approach is for the program to ask the user for the names. In an interactive system, this approach will require a sequence of system calls, first to write a prompting message on the screen and then to read from the keyboard the characters that define the two files. On mouse-based and icon-based systems, a menu of file names is usually displayed in a window. The user can then use the mouse to select the source name, and a window can be opened for the destination name to be specified. This sequence requires many I/O system calls.

Once the two file names have been obtained, the program must open the input file and create the output file. Each of these operations requires another system call. Possible error conditions for each operation can require additional system calls. When the program tries to open the input file, for example, it may find that there is no file of that name or that the file is protected against access. In these cases, the program should print a message on the console (another sequence of system calls) and then terminate abnormally (another system call). If the input file exists, then we must create a new output file. We may find that there is already an output file with the same name. This situation may cause the program to abort (a system call), or we may delete the existing file (another system call) and create a new one (yet another system call). Another option, in an interactive system, is to ask the user (via a sequence of system calls to output the prompting message and to read the response from the terminal) whether to replace the existing file or to abort the program.

When both files are set up, we enter a loop that reads from the input file (a system call) and writes to the output file (another system call). Each read and write must return status information regarding various possible error conditions. On input, the program may find that the end of the file has been reached or that there was a hardware failure in the read (such as a parity error). The write operation may encounter various errors, depending on the output device (for example, no more disk space). Finally, after the entire file is copied, the program may close both files (another system call), write a message to the console or window (more system calls), and finally terminate normally (the final system call). This system-call sequence is shown in Figure 2.5.

As you can see, even simple programs may make heavy use of the operating system. Frequently, systems execute thousands of system calls per second. Most programmers never see this level of detail, however. Typically, application developers design programs according to an application **programming interface (API).** The API specifies a set of functions that are available to an application programmer, including the parameters that are passed to each function and the return values the programmer can expect. Three of the most common APIs available to application programmers are the Windows API for Windows systems, the POSIX API for POSIX-based systems (which include virtually all versions of UNIX, Linux, and Mac OS X), and the Java API for programs that run on the Java virtual machine. A programmer accesses an API via a library of code provided by the operating system. In the case of UNIX and Linux for programs written in the C language, the library is called **libc.** Note that—unless specified—the system-call names used throughout this text are generic examples. Each operating system has its own name for each system call.

Behind the scenes, the functions that make up an API typically invoke the actual system calls on behalf of the application programmer. For example, the Windows function CreateProcess() (which unsurprisingly is used to create a new process) actually invokes the NTCreateProcess() system call in the Windows kernel.

Why would an application programmer prefer programming according to an API rather than invoking actual system calls? There are several reasons for doing so. One benefit concerns program portability. An application program-

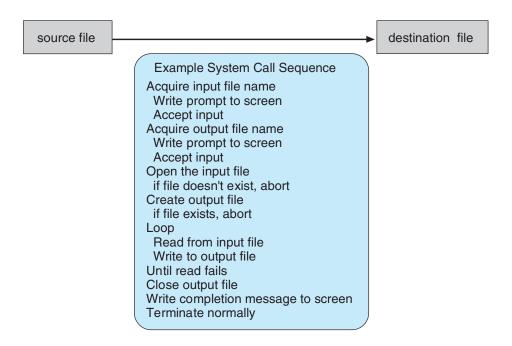


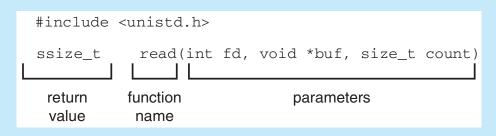
Figure 2.5 Example of how system calls are used.

EXAMPLE OF STANDARD API

As an example of a standard API, consider the read() function that is available in UNIX and Linux systems. The API for this function is obtained from the man page by invoking the command

man read

on the command line. A description of this API appears below:



A program that uses the read() function must include the unistd.h header file, as this file defines the ssize_t and size_t data types (among other things). The parameters passed to read() are as follows:

- int fd—the file descriptor to be read
- void *buf—a buffer where the data will be read into
- size_t count—the maximum number of bytes to be read into the
 buffer

On a successful read, the number of bytes read is returned. A return value of 0 indicates end of file. If an error occurs, read() returns -1.

mer designing a program using an API can expect her program to compile and run on any system that supports the same API (although, in reality, architectural differences often make this more difficult than it may appear). Furthermore, actual system calls can often be more detailed and difficult to work with than the API available to an application programmer. Nevertheless, there often exists a strong correlation between a function in the API and its associated system call within the kernel. In fact, many of the POSIX and Windows APIs are similar to the native system calls provided by the UNIX, Linux, and Windows operating systems.

For most programming languages, the run-time support system (a set of functions built into libraries included with a compiler) provides a **system-call interface** that serves as the link to system calls made available by the operating system. The system-call interface intercepts function calls in the API and invokes the necessary system calls within the operating system. Typically, a number is associated with each system call, and the system-call interface maintains a table indexed according to these numbers. The system call interface

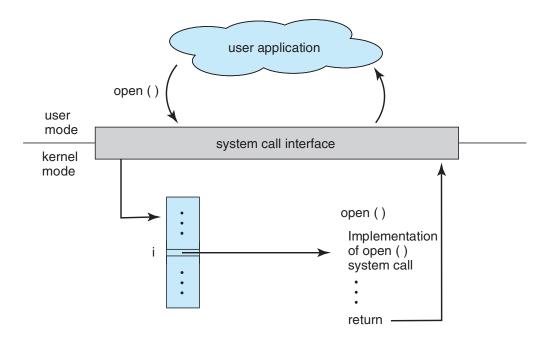


Figure 2.6 The handling of a user application invoking the open() system call.

then invokes the intended system call in the operating-system kernel and returns the status of the system call and any return values.

The caller need know nothing about how the system call is implemented or what it does during execution. Rather, the caller need only obey the API and understand what the operating system will do as a result of the execution of that system call. Thus, most of the details of the operating-system interface are hidden from the programmer by the API and are managed by the run-time support library. The relationship between an API, the system-call interface, and the operating system is shown in Figure 2.6, which illustrates how the operating system handles a user application invoking the open() system call.

System calls occur in different ways, depending on the computer in use. Often, more information is required than simply the identity of the desired system call. The exact type and amount of information vary according to the particular operating system and call. For example, to get input, we may need to specify the file or device to use as the source, as well as the address and length of the memory buffer into which the input should be read. Of course, the device or file and length may be implicit in the call.

Three general methods are used to pass parameters to the operating system. The simplest approach is to pass the parameters in registers. In some cases, however, there may be more parameters than registers. In these cases, the parameters are generally stored in a block, or table, in memory, and the address of the block is passed as a parameter in a register (Figure 2.7). This is the approach taken by Linux and Solaris. Parameters also can be placed, or **pushed**, onto the **stack** by the program and **popped** off the stack by the operating system. Some operating systems prefer the block or stack method because those approaches do not limit the number or length of parameters being passed.